REMARKS

Claims 1-18 were pending in the present application during the most recent examination. The Official Action rejects Claims 15-18 under 35 U.S.C. § 112, second paragraph, as being indefinite. These claims have now been canceled such that this rejection is moot. The Official Action also rejects Claims 1, 2, 4-6 and 8-12 under 35 U.S.C. § 102(b) as being anticipated by U.S. Patent No. 5,870,474 to Anthony J. Wasilewski, et al. Although not identified as being rejected under 35 U.S.C. § 102(b), the Wasilewski '474 patent is also applied to Claim 14 in the same manner and Claim 14 is therefore treated herein as having been rejected under 35 U.S.C. § 102(b). In addition, the Official Action rejects Claim 7 under 35 U.S.C. § 103(a) as being unpatentable over the Wasilewski '474 patent in combination with Official Notice that hashed keys are known to be distributed in the art of cryptographic communications. The Official Action does not specifically address Claims 3 and 13. However, since the Office Action Summary indicates that all claims are rejected, Claims 3 and 13 are also treated herein as being rejected as being unpatentable over the Wasilewski '474 patent. As described below, the rejection of independent Claims 4, 9 and 10 and the respective dependent claims is traversed, while independent Claims 1, 6, 8 and 11 have been amended to be even further patentably distinct from the cited reference. Based on the foregoing amendments and the following remarks, Applicant respectfully requests reconsideration of the present application and allowance of the amended set of claims.

1.   The Invention

As described by the application, a technique is provided for broadcasting secure messages to a plurality of receiving nodes. For example, secure messages may be wirelessly transmitted to each of a plurality of wireless subscribers. The secure messages include data that has been encrypted with a key. The encrypted data and a hashed representation of the key may then be combined into a broadcast message that is transmitted to each of the receiving nodes. In this regard, it is noted that the same broadcast message containing the same encrypted data and the same hashed key, is transmitted to each of the intended recipients.

Upon receiving the broadcast message, each receiving node can parse the broadcast message to separately identify the encrypted data and the hashed key. Each receiving node may also include a plurality of keys that have been prestored in memory, that is, stored by the receiving node prior to receipt of the broadcast message. The receiving node then precedes to hash the plurality of prestored keys. The hashed representations of the prestored keys may be compared to the hashed key included in a broadcast message to determine if a match exists. If a match exists, the encrypted data can be decrypted utilizing the key that has a hash that matches the hashed key included in the broadcast message. If no match exists, the receiving node can request a key from a network entity and, upon receipt of the additional key, can create a hash of the additional key and then compare the hashed representation of the additional key to the hashed key received in the broadcast message to determine if the additional key provided by the network entity matches that with which the data has been encrypted. If a match is found, the encrypted data is decrypted utilizing the additional key.

By permitting encrypted data to be decrypted by means of a prestored key, the messages may be transmitted with increased security since the key need not be transmitted in a manner that can be deciphered by an unintended recipient. By including a hashed representation of the key in the broadcast message, however, the receiving node can readily determine the key that was used to encrypt the data such that the data may be properly decrypted. Moreover, by utilizing the same key to encrypt the data for each of a plurality of receiving nodes, the same message may be broadcast to and decrypted by each of the intended recipients, thereby conserving network bandwidth and reducing the processing requirements on the transmission side of the network. It is noted that the conservation of bandwidth is of particular concern in instances in which the messages are being wireless transmitted to a plurality of wireless receiving nodes since the wireless network that supports the transmission may have only a limited bandwidth that can be devoted to the transmission of the messages.

As noted, the method of the claimed invention is designed to broadcast a secure message to a plurality of receiving nodes, typically while conserving the bandwidth required for the broadcast of the secure message. For various reasons, it is sometimes desirable to prevent one or more of the nodes that previously received and decrypted the secure messages from being

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capable of decrypting similarly encrypted messages in the future. As described by the application, for example, the receiving nodes may have subscribed to a news service and been provided with the key(s) necessary to decrypt the encrypted news stories that are broadcast to the receiving nodes. Upon the expiration of a node's subscription, however, the receiving node whose subscription has expired should be prevented from decrypting similarly encrypted news stories that are broadcast in the future, while not altering the capability of the other receiving nodes to receive and decrypt any future news stories. In this regard, a NULL key may be transmitted to the node that is desired to be removed from the plurality of receiving nodes. The node to which the NULL key is transmitted replaces the pre-stored keys with the NULL key such that the respective node is thereafter unable to decrypt a broadcast message in the same manner as before. By being capable of transmitting a NULL key to the node to be removed without having to retransmit the list of keys to all of the remaining receiving nodes, the bandwidth utilized to administer the broadcast network is further conserved.

2.   The Wasilewski '474 Patent

The Wasilewski '474 patent describes a method and apparatus for securely transmitting programs, such as video, audio and data, between a service provider and a customer's set top unit over a broadband digital network. In order to transmit a program, the Wasilewski method and apparatus initially encrypts a program with a first key, such as a random number generated key. The first key is then encrypted with a second key, termed a multisession key (MSK), that is also a randomly generated key. This second key is then encrypted utilizing the public key of the customer's set top unit to which the program is directed. The encrypted program, the encrypted first key and the encrypted second key are then transmitted to the set top unit.

The Wasilewski '474 patent also describes a message authentication code (MAC) and an entitlement management message (EMM) being sent to the set top unit for authentication purposes. In order to generate the MAC and the EMM, hashed representations are created as described below. In one context, control words are delivered to a set top unit along with a message authentication code (MAC). As described in column 9 of the Wasilewski '474 patent, the non-encrypted control word, other data and the MSK are concatenated together and then

hashed to produce a MAC. The MAC is appended to an encrypted form of the control word (encrypted with the MSK) and then transmitted to the set top unit along with the resulting hash value. By reversing the process, the message may be authenticated.

The EMM including the MSK may also be transmitted such that the set top unit can confirm that an authorized source transmitted the program and the associated encryption keys. The EMM is hashed and the resulting hash value is encrypted using the private key of the service provider that is to transmit the program content. This encryption process creates a digital signature token that is appended to the EMM. The digitally-signed EMM is then encrypted with the public key of the set top unit that is to receive the message. The signed, encrypted EMM may then also be transmitted to the set top unit.

Upon receipt, the set top unit can decrypt the signed, encrypted EMM with its private key to produce the EMM that includes the MSK and the digital signature token. The token is then decrypted with the public key of the service provider to result in a hashed representation of the EMM. The EMM that was provided along with the digital signature token is then hashed and the two hashed representations are compared. If equivalent and if the MAC was properly authenticated, the decryption process may continue. In this regard, the decryption of the program may commence by initially decrypting the encrypted second key, i.e., the encrypted MSK, utilizing the private key of the set top unit. The resulting second key is then compared to the MSK that was recovered from the EMM. If the MSKs match, the MSK is considered to be authenticated and the decryption process continues. If the MSKs differ, however, the authenticity of the encrypted program may be in question. If the MSK is authenticated, the encrypted first key may then be decrypted utilizing the MSK. The resulting first key may then be utilized to decrypt the program such that the set top unit can thereafter display the program.

3.   Amended Independent Claims 1, 6, 8 and 11 and Their Dependent Claims are Patentable

Amended independent Claims 1, 6, 8 and 11 define a method, a network entity, a computer-readable memory and a computer program product, respectively, for sending secure messages in a broadcast network according to the present invention. With reference to amended independent Claim 1 for purposes of discussion, the method includes the steps of: (i) encrypting

data with a key, (ii) hashing the key, (iii) combining the encrypted data and the hashed key in a broadcast message that is structured so as to be capable of being decrypted by each of a plurality of wireless receiving nodes, and (iv) wirelessly transmitting the broadcast message to the plurality of wireless receiving nodes.. The method of independent Claim 1 has also been amended to include the step of removing at least one node from the plurality of wireless receiving nodes by transmitting a NULL key to the node to be removed such that the removed node is thereafter unable to decrypt a broadcast message encrypted with said key. Independent Claims 6, 8 and 11 have been amended to include comparable recitations albeit in terms of a network entity, a computer-readable memory and a computer program product, respectively.

The Wasilewski '474 patent does not teach or suggest any technique for removing a set top unit from a group to which an encrypted program is transmitted. More particularly, the Wasilewski '474 patent does not teach or suggest transmitting a NULL key to a set top unit to be removed from a group such that the removed set top unit is thereafter unable to decrypt an encrypted program transmitted to the remainder of the group, as now recited by amended independent Claims 1, 6, 8, and 11. Moreover, since the Wasilewski '474 patent is designed to utilize a broadband digital network, it does not appear that there would be any motivation to modify the Wasilewski '474 patent so as to provide for the removal of a node by the transmission of a NULL key to the node to be removed as set forth by amended independent Claims 1, 6, 8, and 11. In this regard, there would not appear to be any motivation to modify the Wasilewski '474 patent in this manner, not only because of the failure of the Wasilewski '474 patent to describe any technique to remove a set top unit from a group designed to receive an encrypted program, but also because the Wasilewski '474 patent is designed to utilize the broadband digital network and therefore does not face the same bandwidth limitations imposed by at least some wireless networks which, as described above, is one concern addressed by the method, network entity, computer readable memory and computer program product of amended independent Claims 1, 6, 8, and 11, respectively.

Independent Claims 1, 6, 8, and 11 have also been amended to recite that the receiving nodes are "wireless receiving nodes" and that the transmission of the broadcast message to the plurality of the wireless receiving nodes is a wireless transmission. As noted, the technique for

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sending and receiving secure messages in accordance with the claimed invention is advantageous in a network, such as a wireless network, that has bandwidth limitations since embodiments of the claimed technique are designed to conserve bandwidth during the broadcast of the secure messages as well as during system administration, such as during the removal of a node. In contrast, the Wasilewski '474 patent is directed to transmission over a broadband digital network and does not teach or suggest either the wireless transmission of a broadcast message or the receipt of the broadcast message by wireless receiving nodes.

For each of the foregoing reasons, amended independent Claims 1, 6, 8 and 11 are not taught or suggested by the Wasilewski '474 patent. The claims that depend from independent Claims 1, 6, 8 and 11 also are patentably distinct from the Wasilewski '474 patent for at least the same reasons as described above in conjunction with the amended independent claims. However, some of the dependent claims include additional recitations that provide further bases of patentability. For example, dependent Claim 3, which was not discussed by the Official Action, recites that each of the different keys is associated with a respective category of messages, which recitation is also not taught or suggested by the Wasilewski '474 patent. Thus, Applicant submits that the rejection of amended independent Claims 1, 6, 8 and 11, as well as the claims that depend therefrom, is overcome for each of the foregoing reasons.

4.   Independent Claim 4 is Patentable

Independent Claim 4 is directed to a method for decrypting a message received over a broadcast network that includes the steps of: (i) receiving data comprising an encrypted message and a hashed key at a node in the broadcast network, (ii) parsing the data to derive the encrypted message and the hashed key, (iii) comparing the received hashed key with a plurality of keys that are prestored at the node and selecting a key having a hash that matches the received hashed key, and (iv) decrypting the encrypted message with the matching key if a match was found. Thus, the method of amended independent Claim 4 determines which, if any, of a number of prestored keys should be utilized in order to decrypt the encrypted message by hashing the prestored key and comparing the hashed representation of the prestored keys with the hashed key included in the broadcast message.

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In contrast, the Wasilewski '474 patent does not teach or suggest <u>any comparison</u> <u>between a hashed representation of a prestored key and a hashed key included in a broadcast</u> <u>message</u>, as recited by independent Claim 4. Instead, the Wasilewski '474 patent describes the authentication of an encrypted program by creating a hash of an MSK that was transmitted in an encrypted form with another hashed representation of the MSK that was transmitted in hashed format to the set top unit. Thus, the set top unit does not include prestored keys that are hashed and then compared with a hashed key included within a broadcast message. Instead, the Wasilewski '474 patent describes the receipt of a message including both a hashed representation of the MSK and an encrypted, unhashed representation of the MSK and the subsequent comparison of the hashed forms of both MSKs that have been received.

The Official Action indicates that the Wasilewski '474 patent discloses a comparison between a hashed version of a key included in a broadcast message and a prestored key at column 11, lines 24-67. In reviewing the Wasilewski '474 patent including that portion of column 11 highlighted by the Official Action, the set top unit is described to "keep an internal list of public keys corresponding to the private keys of authorized SPs [service providers] **110**." See column 11, lines 46-48. As explained, however, the public keys of authorized service providers do not correspond with the keys that are described to be prestored by independent Claim 4. In this regard, the keys that are prestored in accordance with independent Claim 4 are keys whose hash is compared to a hashed representation of the key that was utilized to encrypt the original message. Thus, as set forth by independent Claim 4, if the hash of one of the prestored keys is found to match the hashed key that is received along with the encrypted message, the prestored key that is found to match the hashed key that is received along with the encrypted message is utilized to decrypt the encrypted message. In contrast, the public keys stored by the set top unit of the Wasilewski '474 patent are utilized to decrypt the digital signature token in order to obtain a hashed representation of the EMM. Thus, the public keys that are stored by the set top unit of the Wasilewski '474 patent are not compared to any other key and, in particular, the hashed representations of the public keys are not compared with a hashed key that is received along with the encrypted message to identify if any one of the public keys matches the hashed key that is received along with the encrypted message, as recited by

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independent Claim 4. As such, Applicant therefore submits that the method of amended independent Claim 4 is not taught or suggested by the Wasilewski '474 patent such that the rejection of Claim 4, as well as the claims that depend therefrom, is overcome.

5.   Independent Claim 9 is Patentable

Independent Claim 9 describes a computer-readable memory for directing a computer to receive data including an encrypted message and a hashed key, to compare the received hashed key with a plurality of keys and to select a key having a hash matching the received hashed key and to decrypt the encrypted message with the matching key if a match was found and to send a request for a key to a network entity if no matching key was found. In contrast to independent Claim 9, the Wasilewski '474 patent does not teach or suggest sending a request for a key to a network entity if no matching key was found. The Official Action contends that the Wasilewski '474 patent does request a key from the network entity if a matching key is not found by pointing to column 11, line 48-50. With reference to the internal list of public keys of the authorized service providers that is maintained by the set top unit and was described above, column 11, line 48-50 states "[t]his information is provided to the STU [set top unit] 90 by the conditional access authority to ensure the integrity of the public keys. While the conditional access authority does provide the public keys of the authorized service providers to the set top unit, the Wasilewski '474 patent does not teach or suggest that the conditional access authority provides these public keys in response to a request from the set top unit, let alone a request from the set top unit that is generated in response to having not found any key that matches the hashed key received along with the encrypted message as set forth by independent Claim 9. As such, Applicant also submits that amended independent Claim 9 is not taught or suggested by the Wasilewski '474 patent such that the rejection of Claim 9, as well as Claim 13 that depends therefrom, is overcome.

6.   Independent Claim 10 is Patentable

Independent Claim 10 is directed to a computer data signal that includes similar recitations to those described above in conjunction with amended independent Claims 4 and 9.

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In this regard, independent Claim 10 recites comparing the received hash key with a plurality of keys that are prestored by a receiving node and thereafter decrypting the encrypted message with the matching key if a match was found and, alternatively, sending a request for a key to a network entity if no matching key was found. For each of the reasons described above in conjunction with independent Claims 4 and 9, Applicant submits that the Wasilewski '474 patent also fails to teach or suggest independent Claim 10 such that the rejection of Claim 10 is overcome.

7.   The Dependent Claims are Patentable

The claims that depend from independent Claims 4 and 9 also are patentably distinct from the Wasilewski '474 patent for at least the reasons described above in conjunction with independent Claims 4 and 9 such that the rejection of these dependent claims is similarly overcome. However, a number of these dependent claims also include additional recitations that further patentably distinguish the claimed invention from the Wasilewski '474 patent. In this regard, dependent Claim 5 depends from Claim 4 and further adds the step of requesting a key from a network entity if no prestored key is found to have a hash that matches the received hashed key. As described above in conjunction with independent Claim 9, this additional recitation is not taught or suggested by the Wasilewski '474 patent. In addition, Claim 13 depends from independent Claim 9 and recites that the received hashed key is compared with a plurality of keys that have been prestored by the computer. As described above in conjunction with independent Claim 4, the Wasilewski '474 patent also fails to teach or suggest this additional recitation.
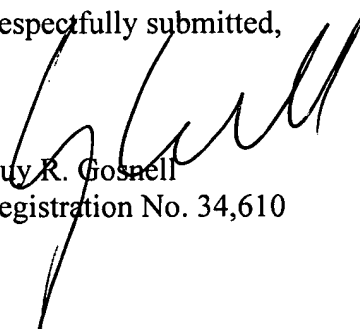
CONCLUSION

In view of the amended claims and the remarks presented above, it is respectfully submitted that all of the claims of the present application are in condition for immediate allowance. It is therefore respectfully requested that a notice of allowance be issued. The

Examiner is encouraged to contact Applicant's undersigned attorney to resolve any remaining issues in order to expedite examination of the present application

It is not believed that extensions of time or fees for net addition of claims are required, beyond those that may otherwise be provided for in documents accompanying this paper. However, in the event that additional extensions of time are necessary to allow consideration of this paper, such extensions are hereby petitioned under 37 C.F.R. § 1.136(a), and any fee required therefore (including fees for net addition of claims) is hereby authorized to be charged to Deposit Account No. 16-0605.
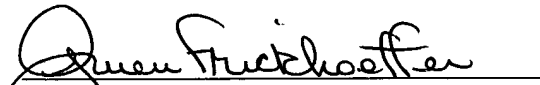
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on August 8, 2005.

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